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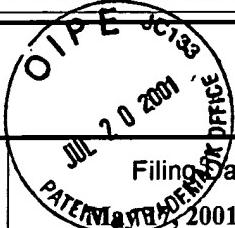
JS03 Rec'd PCT/US

20 JUL 2001 PCT

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
**(Under 37 CFR 1.97(b) or 1.97(c))**

Docket No.  
14621

In Re Application Of:  
Deborah A. Lewis et al.



1761

Serial No.  
09/856,133

Filing Date  
MAY 22, 2001 (Int'l)

Examiner  
unassigned

Group Art Unit  
unassigned

Title: **BREAKFAST CEREAL BISCUIT COMPRISING WAXY GRAIN**

Address to:  
Assistant Commissioner for Patents  
Washington, D.C. 20231

**37 CFR 1.97(b)**

1.  The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

**37 CFR 1.97(c)**

2.  The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:
  1. a Final Action under 37 CFR 1.113, or
  2. a Notice of Allowance under 37 CFR 1.311,
 whichever occurs first.

Also submitted herewith is:

- a certification as specified in 37 CFR 1.97(e);

**OR**

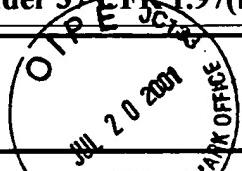
- the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).

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SEP 25 2001  
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**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
14621

In Re Application Of:  
Deborah A. Lewis et al.



Serial No.  
09/856,133

Filing Date  
May 17, 2001 (Int'l)

Examiner  
unassigned

Group Art Unit  
unassigned

Title: **BREAKFAST CEREAL BISCUIT COMPRISING WAXY GRAIN**

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- A check in the amount of \_\_\_\_\_ is attached.
- The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 19-1013/SSMP as described below. A duplicate copy of this sheet is enclosed.
- Charge the amount of \_\_\_\_\_
- Credit any overpayment.
- Charge any additional fee required.

**Certificate of Transmission by Facsimile\***

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. \_\_\_\_\_) on \_\_\_\_\_

(Date)

Signature

*[Signature]*  
Typed or Printed Name of Person Signing Certificate

**Certificate of Mailing by First Class Mail**

I certify that this document and fee is being deposited on July 17, 2001 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

*[Signature]*  
Signature of Person Mailing Correspondence

Janet Grossman

Typed or Printed Name of Person Mailing Correspondence

\*This certificate may only be used if paying by deposit account.

*[Signature]*  
Signature

Dated: July 17, 2001

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PATENT  
**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Applicants:** Deborah A. Lewis et al.      **Examiner:** unassigned

**Serial No.:** 09/856,133      **Art Unit:** unassigned

**Filed:** May 17, 2001 (International)      **Docket:** 14621

**Int'l Filing Date:** November 17, 1999

**For:** BREAKFAST CEREAL BISCUIT  
COMPRISING WAXY GRAIN      **Dated:** July 17, 2001

Assistant Commissioner for Patents  
Washington, D.C. 20231

**INFORMATION DISCLOSURE STATEMENT**

Sir:

In accordance with 37 C.F.R §§1.97 and 1.98, it is requested that the following references, which are also listed on the attached Form PTO-1449, be made of record in the above-identified case.

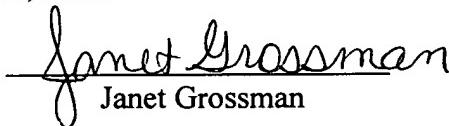
1. Georget, D.M.R. & A.C. Smith, (1995) "Mechanical Properties of Wheatflake Components", Carbohydrate Polymers Vol. 28 pp. 305-311.
2. U.S. Patent No. 4,888,180 dated December 19, 1989.

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**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231 on July 17, 2001.

Dated: July 17, 2001

  
Janet Grossman

Reference D.M.R. Georget & A.C. Smith, "Mechanical Properties of Wheatflake Components", Carbohydrate Polymers Vol. 28 pp. 305-311 (1995), was cited in a Search Report received from the Australian Patent Office dated December 20, 1999.

Applicants are submitting a copy of the above-cited reference, together with a copy of the Search Report. The relevance of the above-identified reference has been described in the Search Report.

U.S. Patent No. 4,888,180 has been cited in the specification.

Applicants are submitting a copy of the above-cited reference. U.S. Patent No. 4,888,180 is cited as prior art.

Inasmuch as this Information Disclosure Statement is being submitted in accordance with the schedule set out in 37 C.F.R. § 1.97(b), no statement or fee is required.

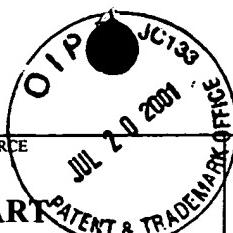
Respectfully submitted,



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FSD/sf



Form PTO-1449 U.S. DEPARTMENT OF COMMERCE  
(REV. 7-80) PATENT AND TRADEMARK OFFICE

### LIST OF PRIOR ART CITED BY APPLICANT

(Use several sheets if necessary)

Atty. Docket No.

14621

Serial No.

09/856,133

**Applicant**

Deborah A. Lewis et al.

**Filing Date**

May 17, 2001 (International)  
Int'l Filing Date: November 17,  
1999

**Group**

### U.S. PATENT DOCUMENTS

EXAMINE R INITIAL*		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (if appropriate)
	AA						
	AB	4,888,180	12/19/1989	Wu			
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						

### FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
							YES NO

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*SEP 25 2001*  
*TC 1700*

### OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)

		Georet, D.M.R & A.C. Smith, (1995) "Mechanical Properties of Wheatflake Components", Carbohydrate Polymers Vol. 28 pp. 305-311.

EXAMINER

DATE CONSIDERED

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.